# OFF 14 2005 W

## IAP10 Rec'd PCT/PTO 14 DEC 2005

Under the Page	<b>E</b> / .		Appr U.S. Patent and T	PTO/SB/21 (09-0 oved for use through 07/31/2006. OMB 0651-0031 rademark Office; U.S. DEPARTMENT OF COMMERO	
Under the Pape	rwork Reduction Act of 1995, no pe	ersons are required	to respond to a collection of Application Number	information unless it displays a valid OMB control numb	
TI	RANSMITTA	L	Filing Date	September 28, 2005	
FORM			First Named Inventor	Stephen W. Sagon et al.	
2 2 3 3 3 3		Art Unit	N/A		
(to be used for all correspondence after initial filing)			Examiner Name	Not Yet Assigned	
Total Number of Pages in This Submission 5			Attorney Docket Num		
	ENC	LOSURES	(Check all that ap	oly)	
Fee Transr		Drawing(s)		After Allowance Communication to TC	
		Licensing-rel	Annual Communication to Boose		
Amendment/Reply Petition		Petition		Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)	
After Final Petition to Control Provisional After			Proprietary Information		
Affidavits/declaration(s) Power of Atto		rney, Revocation prespondence Address	Status Letter		
Extension of Time Request Terminal Dis		claimer	X Other Enclosure(s) (please Identify below):		
Express Abandonment Request Request for		Refund	International Search Report Return Receipt Postcard		
X Information Disclosure Statement CD, Number		of CD(s)			
Certified Copy of Priority Document(s)  Landso			cape Table on CD		
Reply to Missing Parts/					
Incomplete Application  Reply to Missing Parts under					
∟ 37 C	FR 1.52 or 1.53				
	SIGNATUR	E OF APPLICA	ANT, ATTORNEY, O	R AGENT	
WOLF, GREENFIELD & SACKS, P.C.					
gnature	M				
inted name	Eric L. Amundsen				
Date December <u>/2</u> , 2005 Reg. No. 46,518			46,518		
envelope addre below.	ssed to Mail Stop Amendment,	Commissioner for	Patents, P.O. Box 1450,	ith sufficient postage as First Class Mail, in an Alexandria, VA 22313-1450, on the date shown	
Dated: DECC	EMBER 12, 2005 Sig	nature:	anine mechals	(Janine Michalski)	

DEC 1 4 2005 IN

DOCKET NO.: B1075.71017US01

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Stephen W. Sagon et al.

Serial No.:

10/550935

Confirmation No.:

3749

Filed:

September 28, 2005

For:

METHOD AND APPARATUS FOR ELECTROSURGICAL

**ABLATION** 

Examiner:

Not Yet Assigned

Art Unit:

Not Yet Assigned

#### **CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)**

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to MAIL STOP AMENDMENT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the 12th day of December, 2005.

#### MAIL STOP AMENDMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

### STATEMENT FILED PURSUANT TO THE DUTY OF DISCLOSURE UNDER 37 CFR §§1.56, 1.97 AND 1.98

Sir:

Pursuant to the duty of disclosure under 37 C.F.R. §§1.56, 1.97 and 1.98, the Applicant requests consideration of this Information Disclosure Statement.

#### PART I: Compliance with 37 C.F.R. §1.97

This Information Disclosure Statement has been filed within three months of the date of the entry of the national stage, as set forth in 37 C.F.R. §1.491, in an international application.

No fee or certification is required.

Serial No.: 10/550935 -2 - Art Unit: Not Yet Assigned

#### PART II: Information Cited

The Applicant hereby makes of record in the above-identified application the information listed on the attached form PTO-1449 (modified PTO/SB/08). The order of presentation of the references should not be construed as an indication of the importance of the references.

The Applicant hereby makes the following additional information of record in the above-identified application.

The above-identified U.S. application claims priority to PCT Application Serial No. PCT/US2004/009619. If the Examiner has not had the benefit of review of the file history of PCT/US2004/009619, then he/she is asked to contact the undersigned, who will provide a copy of same.

The Applicant would like to bring to the Examiner's attention the enclosed search report dated August 26, 2004 from corresponding International Application PCT/US2004/009619.

#### PART III: Remarks

Documents cited anywhere in the Information Disclosure Statement are enclosed unless otherwise indicated. It is respectfully requested that:

- 1. The Examiner consider completely the cited information, along with any other information, in reaching a determination concerning the patentability of the present claims;
- 2. The enclosed form PTO-1449 (modified PTO/SB/08) be signed by the Examiner to evidence that the cited information has been fully considered by the Patent and Trademark Office during the examination of this application;
- 3. The citations for the information be printed on any patent which issues from this application.

By submitting this Information Disclosure Statement, the Applicant makes no representation that a search has been performed, of the extent of any search performed, or that more relevant information does not exist.

Serial No.: 10/550935 - 3 - Art Unit: Not Yet Assigned

By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b).

By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, in fact, prior art as defined by 35 U.S.C. §102.

Notwithstanding any statements by the Applicant, the Examiner is urged to form his or her own conclusion regarding the relevance of the cited information.

An early and favorable action is hereby requested.

Respectfully submitted,

By:

Eric L. Amundsen, Reg. No. 46,518 Wolf, Greenfield & Sacks, P.C.

600 Atlantic Avenue

Boston, Massachusetts 02210-2206

Telephone: (617) 646-8000

Docket No.: B1075.71017US01 Date: December /2, 2005

xxNDDxx

ds , ,		/	1 P E 4803			
F∩DM DT∩_1	449/A and B (m	THE THE	MADENA DE	APPLICATION NO.: 10/550935	ATTY. DOCKET NO.: B1075.71017US01	
				FILING DATE: September 28, 2005	CONFIRMATION NO.: 3749	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT				APPLICANT: Stephen W. Sagon et al.		
Sheet	1	of	1	GROUP ART UNIT: Not Yet Assigned	EXAMINER: Not Yet Assigned	

#### U.S. PATENT DOCUMENTS

Examiner's Initials #	Cite	U.S. Patent Document		Name of Patentee or Applicant of Cited	Date of Publication or Issue
	No.	Number	Kind Code	Document Document	of Cited Document MM-DD-YYYY
		US 2001/0012935	A1	Morgan, et al.	08-09-2001
		US 2002/002329	A1	Avitall	01-03-2002
		US 2002/0107511	A1	Collins, et al.	08-08-2002
		5,098,431	Α	Rydell	03-24-1992
		5,431,696		Atlee, III	07-11-1995
·		5,555,618	Α	Winkler	09-17-1996
		5,893,884		Tu	04-13-1999
		6,071,277	Α	Farley, et at.	06-06-2000
		6,091,995	Α	Ingle, et al.	07-18-2000
		6.096,064		Routh	08-01-2000
		6,178,354	B1	Gibson	01-23-2001
		6,245,067	B1	Tu, et al.	06-12-2001
		6,547,788	B1	Maguire, et al.	04-15-2003
		6,554,827	B2	Chandrasekaran, et al.	04-29-2003
		6,685,716		Flaherty, et al.	02-03-2004

#### OTHER ART — NON PATENT LITERATURE DOCUMENTS

Examiner's	Cite	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	Translation
Initials #	No		(Y/N)
		PCT International Search Report for International Application No. PCT/US2004/009619; August 26, 2004	

EXAMINER:	DATE CONSIDERED:

<sup>#</sup> EXAMINER: Initial if reference considered, whether or notcitation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.